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1	Effect of crosslinking by microbial transglutaminase of gelatin films on lysozyme kinetics of
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24 Abstract

The effect of enzymatic crosslinking by microbial transglutaminase on the physico-chemical 25 properties of gelatin-based films incorporated with lysozyme were investigated. Increase in the 26 crosslinking degree of gelatin with microbial transglutaminase concentration in film-forming 27 suspensions was correlated with a decrease of films thickness. Moreover, the enhancement in 28 29 crosslinking resulted in a decrease of the solubility in water, as well as of the extent of swelling 30 degree, and of the water vapor permeability of films and in an increase of films tensile strength. The kinetics of release at 4°C of lysozyme from control non-reticulated films and reticulated 31 32 films to either sodium acetate buffer, or 2% (w/v) agar gels in direct contact were compared. Interestingly, the kinetics of release of lysozyme were always slower from crosslinked films than 33 from control films. This difference was higher for lysozyme release to agar gels, which was 34 35 dominated by Fickian diffusion. Enzymatic crosslinking by microbial transglutaminase of gelatin-based films incorporated with lysozyme can thus effectively control the release of this 36 37 food preservative.

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Keywords: gelatin-based films, enzymatic crosslinking, microbial transglutaminase, lysozyme,
controlled release.

42 List of abbreviations:

- 43 ATR-FTIR spectroscopy: Attenuated Total Reflection Fourier Transform InfraRed
- 44 spectroscopy
- 45 EAB: Elongation At Break
- 46 FFS: Film Forming Suspension
- 47 MTGase: Microbial TransGlutaminase
- 48 RH: Relative Humidity
- 49 RMSE: Root Mean Square Error
- 50 SSE: Sum of Squared estimate of Errors
- 51 TNBS: 2, 4, 6 TriNitroBenzene-1-Sulfonic acid
- 52 TS: Tensile Strength
- 53 WVP: Water Vapor Permeability
- 54 WVTR: Water Vapor Transmission Rate
- 55

56 **1. Introduction**

The shelf life of high-value refrigerated perishable foods (e.g., fish fillets, raw meat, cheese 57 slices...) is often limited by the growth of unwanted microorganisms on their surface (Odeyemi 58 et al., 2020). Therefore, different ways to deliver food preservatives in the superficial zone of 59 these foods, and thereby extend their shelf life have been proposed: (i) direct addition by 60 spraying a solution onto food surface, (ii) spraying, spreading, or dipping in a film-forming 61 62 suspension to get active coatings (Valdés et al., 2017), or (iii) addition in a film-forming suspension, to get active stand-alone films that can be used to wrap perishable foods. Besides 63 providing a barrier to water molecules and oxygen uptake, the advantage of active coatings or 64 65 films over direct spraying of active molecules lies in their capacity to slow down their kinetics of release. Controlled release of active molecules depends on the size of active molecules, 66 67 compared to the crosslinking density of networking of polymers forming the coating or the film, 68 on films porosity and tortuosity (Gemili et al., 2009), on the interactions between active molecules and other coating/film constituents (mainly film-forming polymers), as well as on the 69 interactions between coating/film constituents and food constituents, namely water molecules. 70

Polysaccharides and proteins are biopolymers commonly used to prepare edible coatings or 71 films, due to their film-forming ability (Avramescu et al., 2020). However, one important 72 73 bottleneck to the broader use of coatings/films made with these edible biopolymers results from 74 their interactions with water, which is the main constituent of perishable foods. Indeed, 75 compared to conventional plastic films used for food packaging, all these materials have a far lower water resistance (Castro-Rosas et al., 2016). Their higher water vapor permeability values 76 lead to accelerated drying of high moisture foods, and coating/film integrity loss can even result 77 78 from the dissolution of film-forming biopolymers by food moisture. A successful approach to

limit the dissolution of film-forming water-soluble biopolymers, such as gelatin, is their 79 crosslinking, which leads to an "irreversible" chemical network formed by covalently linked 80 macromolecules (Azeredo & Waldron, 2016). Microbial transglutaminase (MTGase) is applied 81 in food industries as an emulsifying, stabilizing, gelation, viscosifier, foaming, and water holding 82 agent. Ajinomoto company is the first commercial producer of MTGase since 1998. MTGase is 83 considered as GRAS (Generally Recognised As Safe) by Food and Drug Administration (FDA). 84 85 According to European Parliament Directive 2000/13 EC, MTGase is not an ingredient, but a processing aid. Therefore, it is not listed in the ingredients of finished products (de Góes-Favoni 86 & Bueno, 2014). Enzymatic crosslinking of gelatin-based films by MTGase allowed thus to 87 reduce solubility in water of gelatin films from ~90% to ~60% (Kaewprachu et al., 2018; Ma et 88 al., 2020). Several authors reported the possibility to use such crosslinked gelatin-based films 89 incorporated with food preservatives, such as lysozyme or nisin, to extend the shelf life of 90 perishable foods (Kaewprachu et al., 2015, 2018). While it is known, in case of direct release of 91 92 bioactive agents from film matrix to food matrices, that excessive dissolution of polymers results in less integrity of film matrix, and consequently in accelerated release of bioactive compound, 93 the effect of crosslinking by MTGase of gelatin-based films on the kinetics of release of 94 lysozyme has never been investigated. Therefore, in the present study, the kinetics of release of 95 lysozyme from control non-crosslinked gelatin-based films and from gelatin-based films 96 97 crosslinked by MTGase to aqueous buffer solutions or to an agarose gel mimicking the gel-like structure of some perishable foods were compared. Besides its antimicrobial activity against 98 Gram-positive bacteria and its use as a food preservative, lysozyme is a 14.4 kDa globular 99 protein with a ~ 2 nm hydrodynamic radius: this higher molecular weight and size compared to 100 most food preservatives is expected to favor the decrease of its release kinetics from reticulated 101

films to the superficial zone of foods in direct contact. Moreover, lysozyme enzymatic activity
assay provides a specific and sensitive analytical method to monitor its release from films to
receptor media.

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106 2. Materials and Methods

107

108 **2.1. Materials**

Bovine skin gelatin powder (gel strength ~ 225 g Bloom, type B) and hen egg white lysozyme (70,000 units.mg⁻¹) were purchased from Sigma Aldrich (St Quentin Fallavier, France). MTGase preparation (Activa TG-AK, Ajinomoto Foods Europe, Hamburg, Germany) contained 50-84 units.g⁻¹ corresponding to 0.6 g MTGase per 100 g, additional ingredients being anhydrous trisodium phosphate (60 g per 100 g) and soy protein isolate, maltodextrins, and corn oil in various proportions according to the manufacturer. Unless otherwise stated, all reagents were from Sigma Aldrich.

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117 **2.2.** Gelatin films preparation

Film-forming suspensions (FFS) contained 4.5 g.100 mL⁻¹ bovine gelatin, 0.9 g.100 mL⁻¹ glycerol as a plasticizer (i. e., 20% (w/w) of gelatin), 0.25 g.100 mL⁻¹ lysozyme, 0 (control), 0.14, 0.28, or 0.42 g.100 mL⁻¹ MTGase preparation. Gelatin stock suspension was prepared 24h before use and stored at 4°C under continuous stirring for a maximum of one day. The pH of gelatin stock suspension and lysozyme stock solution was adjusted to pH 5 by adding 0.01 mol.L⁻¹ HCl before FFS preparation. Each FFS was mixed at 45°C for 3h. Fifty milliliters of each deaerated FFS were cast onto a 12cmx12cm Petri dish, and subsequently dried in an oven at
45°C for 24h. The final dried gelatin films were manually peeled.

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127 **2.3. Determination of degree of crosslinking**

The degree of crosslinking of gelatin films was determined following the procedure described by 128 Prasertsung et. al (2010). Briefly, 5 mg of each film were weighed in a test tube and mixed with 129 1mL of 0.5% (w/v) 2, 4, 6 trinitrobenzene-1-sulfonic acid (TNBS) solution and 1mL of 4% (w/v) 130 131 sodium hydrogen carbonate buffer (pH=8.5). This mixture was then incubated for 2h at 40°C, so that free amino groups of gelatin (not crosslinked) react with TNBS. Finally, 2 mL of 6 mol.L⁻¹ 132 133 HCl were added to each tube before incubation for 90 min at 60°C. The absorbance at 415 nm of each solution was then measured with a spectrophotometer after suitable dilution (Prasertsung et 134 135 al., 2010). The degree of crosslinking was calculated using equation (1):

136 Degree of crosslinking (%) = $[1-(Abs _{415nm of crosslinked films}/Abs _{415nm of uncrosslinked films})] x 100 (1)$

137

138 **2.4.** Physico-chemical properties

To measure moisture content, solubility in water, and swelling index of films, 3.5 cm diameter films were cut with a punch die and placed in a conditioning chamber (Vötsch Industrie Technik GmbH, Balingen, Germany) for 48h at 23°C in a 50% relative humidity (RH) atmosphere. Conditioned film weight (m₁) was measured. Moisture content was calculated according to equation (2):

144 Moisture content (%) = $((m_1-m_2)/m_1) \times 100)$ (2)

145 where m_2 stands for the weight of films after 24 h drying at 75°C

147 Solubility in water of films at 20°C was calculated according to equation (3):

148 Solubility in water (%) = $((m_2-m_3)/m_2) \times 100)$ (3)

149 where m_3 stands for the dry weight (after 24h at 75°C) of films soaked a few seconds in double

150 distilled water before removing additional water (m₃) with a filter paper

151

152 Swelling index (%) of films was calculated according to equation (4):

153 Swelling index $(\%) = ((m_4-m_1)/m_1) \times 100 (4)$

where m₄ stands for the weight of film after 24h conditioning at 23°C in a 50% RH atmosphere following its soaking in double-distilled water and removal of additional water with a filter paper.

157

158 **2.5.** Water vapor transmission rate and permeability of films

159 Water vapor transmission rates (WVTR) of gelatin films were determined at 23°C for a partial pressure gradient equal to 33%-75% RH by the "wet cup" method (Cottaz et al., 2016). Briefly, 160 films preconditioned for 48h at 23°C in a 75% RH atmosphere were sealed on aluminum cups. 161 Grease was used to ensure water and air tightness at the contact zones. Aluminum cups were left 162 in a conditioning chamber at 23°C in an external atmosphere regulated at 75% RH and the 163 humidity of the internal atmosphere of cups was fixed at 33% RH with saturated MgCl₂ aqueous 164 solutions. The aluminum cups were periodically weighed to calculate the regression slope to 165 obtain the WVTR (equation 5) and the water vapor permeability (WVP) of films (equation 6). 166 WVTR= water uptake of cup (g)/ [exposed area of film (m^2) .time (days)] (5) 167

168 WVP= [water uptake of cup (g) x (film thickness (m)] / [exposed area of film (m^2) . water vapor

169 pressure difference across film (Pa).time (s)] (6)

171 **2.6. Mechanical properties**

Mechanical properties of films preconditioned at 23°C in a 50% RH atmosphere were determined using a TA-HD-plus texture analyzer (Stable Micro Systems, Godalming, UK). The films were cut into 6 cm x 1.5 cm rectangular strips. Grip separation was set at 40 mm. Samples were clamped and deformed under tensile loading using a 1,000 N load cell with a crosshead speed of 60 mm.min⁻¹ until samples were broken (Sabaghi et al., 2015). The maximum load and the final extension at break were used for the calculation of tensile strength (TS) and elongation at break (EAB), respectively.

179

180 **2.7.** Evaluation of lysozyme release in buffer solution and agar gels

Lysozyme release from crosslinked (0.42 g.100 mL⁻¹ MTGase) and non-crosslinked gelatinbased films was first evaluated in 0.1 mol.L⁻¹ sodium acetate buffer (pH 7). Briefly, 0.5 cm diameter films were immersed in 5 mL of sodium acetate buffer at 4°C to mimic refrigeration temperature and left for 3 days in a rotary shaker (50 rpm) (IKA Loopster, Staufen, Germany). These conditions accelerated release of lysozyme from films. Fifty microliters of buffer were sampled out after 0, 1, 2, 4, 8 h, 1, 2 and 3 days and its lysozyme enzymatic activity was assayed as described thereafter.

To mimic the release of lysozyme from gelatin films to semi-solid food matrices in direct contact, crosslinked and non-crosslinked 3.5 cm diameter gelatin films with lysozyme were put on the upper surface of 3.5 cm diameter and 5 mm height cylinders of 2% (w/v) agar gels for up to 12 days at 4°C in a 75% RH atmosphere (0.05% (w/v) sodium azide was added in agar gels formulation to prevent any microbial growth during these experiments). After 1 h, 2 h, 4 h, 8 h,

and every day from day 1 to day 12, agar cylinders were immediately cut into five 1 mm
thickness slices. Then, each agar gel slice was immediately immersed in 5 mL sodium acetate
buffer (0.1 mol.L⁻¹, pH 7) at 4°C for 24h under stirring conditions to extract lysozyme.

Lysozyme enzymatic activity of sodium acetate buffer solutions was assayed at 25°C with a 196 turbidimetric assay as described by Fabra et al. (2014). Lysozyme substrate was a Micrococcus 197 lysodeikticus cell suspension (0.16 g.L⁻¹) (M3770, Sigma Aldrich) in 0.1 mol.L⁻¹ sodium 198 199 phosphate buffer (pH 7). Fifty microliters of sodium phosphate buffer with released lysozyme 200 were added to 950 µL of Micrococcus lysodeikticus cell suspension. Turbidity was monitored for 2 min at 450 nm using a spectrophotometer (Uvikon, Northstar Scientific, Leeds, United 201 202 Kingdom). The initial slope of the 450 nm absorbance decrease as a function of time was used to estimate the concentration of active lysozyme. One unit (U) of lysozyme activity was defined as 203 a 0.001 decrease in 450 nm absorbance in 1 min at 25°C (Bayarri et al., 2014). Lysozyme 204 205 activity assays were performed 3 times for each sample. The activity of released lysozyme was calculated as the ratio between lysozyme activity assayed and the corresponding activity of the 206 207 quantity of lysozyme initially added in each film.

To investigate the kinetics of lysozyme release from gelatin films in food simulants, the experimental data were fitted either with Higuchi (cumulative percentage of release versus square root of time) (7), Ritger-Peppas (8), or Kopcha (9) equations.

211

212 C= k.t $^{0.5}$ (7)

213

214 $C = k.t^{n}(8)$

216 C= $k_1.t^{0.5} + k_2.t$ (9)

217

where C stands for the concentration of released lysozyme at a given time (t), n is a release coefficient. k is the Higuchi or Ritger-Peppas constant, respectively. k_1 and k_2 coefficients in Kopcha equations are related to mass transport of lysozyme governed by Fickian diffusion or to relaxation/diffusion of gelatin polymeric chains, respectively. A k_1 value higher than a k_2 value indicates the predominance of Fickian diffusion in lysozyme transport.

Higuchi equation fits data corresponding to a release of active molecules driven by Fickian diffusion. In the Ritger-Peppas equation, $n \le 0.5$, indicates thus Fickian diffusion, while $0.5 \times n \ge 1$ indicates non-Fickian transport (driven both by diffusion and erosion release behavior), and n=1case-II transport (release in erosion mode).

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228 2.8. Attenuated total reflection - Fourier transform infrared (ATR-FTIR) spectroscopy

Prior to ATR-FTIR analysis, samples, gelatin-based films with lysozyme before and after contact
with an agar gel (to simulate food contact with moist foods) were dried in a desiccator (silica gel,
RH= 0%) for 24h. ATR-FTIR spectra were then recorded using a diamond ATR crystal coupled
to an Alpha spectrometer (Bruker Optics , Wissembourg, France). Acquisition (accumulation of
32 scans) was performed in the wavenumber range from 500-3700 cm⁻¹ with a 2 cm⁻¹ resolution
(Cottaz et al., 2016).

Data were pre-processed using the OPUS (7.5 version) software from Bruker Optics (vectorial
normalization, smoothing (9 points) and rubber baseline correction) before peaks determination
(cut-off: 1%).

239 **2.9. Statistical analysis**

All experiments were performed in triplicate. All the data are provided as the mean values \pm SD (standard deviation) (n=3). Two-way analysis of variance (ANOVA) by Fisher's test (F) was used to compare the means. Difference was considered to be significant at p < 0.05. Fitting of lysozyme release experimental data with the different models was performed with the help of MatlabTM version 7.10.0 (R2010a) software (The MathWorks Inc., Natick, MA).

245

- 246 **3. Result and discussion**
- 247

3.1. Effect of enzymatic crosslinking on the physico-chemical properties of gelatin-based films

Physico-chemical properties of films crosslinked by MTGase added at different concentrations in
the formulation of FFS and of control non-crosslinked films, which were conditioned at 23°C in
a 50% RH atmosphere before analysis, are presented in Table 1.

The addition of MTGase in the formulation of FFS resulted in a decrease in the number of free 253 amino groups reacting with TNBS in gelatin, as reflected by the increase of gelatin crosslinking 254 degree. Indeed, MTGase catalyzes the formation of isopeptide bonds between the γ -carboxamide 255 groups of glutamine residue side chains and the ε-amino groups (-NH₂) of lysine residue side 256 257 chains. While hen egg white lyzozyme incorporated in film-forming suspensions contains 3 glutamine residues and 6 lysine residues in its 129-amino-acid sequence, native lysozyme, like 258 other globular proteins and unlike gelatin, is not a MTGase substrate (Murthy et al., 2009; Schuh 259 et al., 2010): the decrease in the number of free amino groups following MTGase action can thus 260 not be ascribed its action on lysozyme. Indeed, Kim and Uyama (2007) incubated 20% (w/v) 261

cold water fish gelatin for 24h at 37°C with 0.1-0.7 g.100 mL⁻¹ MTGase preparations: While 262 crosslinking degree significantly increased in the 0.1 to 0.4 g.100 mL⁻¹ MTGase preparation 263 range. Nevertheless, it did not significantly increase above this threshold MTGase concentration. 264 Present results with a limited increase of gelatin cross-linking degree when MTGase preparation 265 content in FFS increased from 0.28 to 0.42 g.100 mL⁻¹ are consistent with this 0.4 g.100 mL⁻¹ 266 threshold concentration, above which no further increase of gelatin cross-linking degree was 267 268 observed. Kim and Umaya (2007) also reported that, for a fixed MTGase concentration, 269 crosslinking degree increased with gelatin concentration: this likely explains the high final crosslinking degree of films (up to 52.1%±1.2%) after 24 h incubation at 45°C in an oven, since 270 271 these conditions result in water evaporation, and thus in a progressive increase of gelatin concentration in films, thereby promoting enzymatic crosslinking of gelatin chains by MTGase. 272

As can be seen in Table 1, crosslinking by MTGase significantly decreased the thickness of the gelatin films from $253\pm20 \ \mu m$ to $173\pm28 \ \mu m$. Cheng et al. (2019) also applied MTGase as a crosslinker to improve the structural properties of collagen films. These researchers concluded that film thickness decreased due to the formation of a more compact structure.

However, crosslinking by MTGase (whatever its concentration in FFS) did not have any 277 significant (P > 0.05) effect on the moisture content of films pre-equilibrated at 23°C in a 50% 278 279 RH atmosphere. Indeed, Raja Mohd Hafidz et al. (2011) reported Lys (lysine) and Glx (glutamic acid and glutamine) contents of bovine skin gelatin (11 and 34 residues per 1000 total amino acid 280 residues, respectively) were about twice lower than in porcine skin gelatin. Although the 281 formation of iso-peptide bonds between glutamine and lysine residues greatly affects other 282 gelatin properties, this did not significantly reduce the moisture content of films, likely due to the 283 limited decrease of hydrophilic groups along bovine skin gelatin chains resulting from the 284

formation of these bonds by MTGase. One must also consider, that films were not only plastified
by water, but also by glycerol, which represented 20% (w/w) of gelatin.

Among the physico-chemical properties of films, solubility in water at 20°C was the property 287 that was most affected by crosslinking by MTGase. Indeed, it decreased from 73.1%±4.7% for 288 control films to 27.3%±2.1% for films prepared with 0.14 g.100 mL⁻¹ MTGase preparation in 289 FFS. However, solubility in water at 20°C of crosslinked films did not further decrease for 290 higher MTGase concentrations in FFS. Consistently, the swelling index of films was 291 292 significantly lower for films crosslinked with MTGase (< 78.3%±1.3 %) compared to control films (84.2%±4.9%). A similar decrease of the swelling index of chitosan-ovalbumin films and 293 294 of fish myofibrillar protein films crosslinked by MTGase was reported by Di Pierro et al. (2007) and Kaewprachu et al. (2017). Cao et al. (2007) also observed a decrease of gelatin films 295 swelling index, when their crosslinking degree by either ferulic acid, or tannic acid increased. In 296 297 the present study, a decrease of swelling index likely results from crosslinking of gelatin chains, inducing a less swollen films network. However, again, increasing MTGase preparation 298 concentration in FFS from 0.14 g.100 mL⁻¹ to 0.42 g.100 mL⁻¹ resulted in a limited decrease of 299 swelling index. This is consistent with the corresponding limited increase of crosslinking degree 300 and decrease of solubility in water. 301

Water vapor permeability (WVP) of gelatin films determined at 23°C for a partial pressure gradient equal to 33%-75% RH (to simulate their use for the packaging of moist foods) significantly decreased, when MTGase content of FFS increased: the WVP of films prepared with 0.42 g.100 mL⁻¹ MTGase preparation in FFS was $33\%\pm9\%$ lower than that of control noncrosslinked films. A similar decrease ($23\%\pm5\%$) was observed by Kaewprachu et al. (2018), when comparing the WVP for a partial pressure gradient equal to 0%-100% RH at 30°C of control gelatin films with nisin and catechin and that of the same films crosslinked by MTGase.

309 Crosslinking by MTGase of gelatin-based films with lysozyme resulted in a significant increase 310 of tensile strength of films. A similar increase was reported by Wu et al. (2017) for gelatin-based 311 films with natamycin also crosslinked by MTGase. An increase of tensile strength results from 312 the crosslinking of gelatin chains, thereby increasing the cohesiveness of the gelatin network.

Increasing MTGase concentration also resulted in an increase of elongation at break. The initial 313 314 increase of elongation at break with MTGase concentration in FFS also likely results from this increase of cohesiveness of gelatin network in films. However, if crosslinking degree is too high, 315 316 this would increase film stiffness and thus decrease elongation at break. Bigi et al. (2001) reported the increase of stiffness of gelatin-films reticulated by glutaraldehyde as a function of 317 318 the crosslinking degree of ε -amino groups along gelatin chains. In the present study, intramolecular crosslinking acts as a chain extender, thus leading to a higher entanglement of 319 320 polymer chains.

321

322 3.2. Effect of enzymatic crosslinking on the kinetics of release of lysozyme from gelatin323 based films

The main goal of this study was to investigate how enzymatic crosslinking by MTGase of gelatin-based films with lysozyme in their formulation can tune the kinetics of release of lysozyme from these films to moist foods in direct contact. Therefore, the kinetics of release of lysozyme from crosslinked and control gelatin-based films in sodium acetate buffer (0.1 mol.L⁻¹, pH 7) were first compared (Figure 2A). Once immersed in buffer, each buffer-film combination was placed in a rotary shaker to accelerate the kinetics of release of lysozyme from films.

Kinetics of release of lysozyme were monitored by assaying lysozyme activity in buffer at 330 regular intervals. After 4 h, more than 50% of lysozyme activity of control films was released, 331 unlike from crosslinked films. Indeed, the release of lysozyme from gelatin-based films to buffer 332 likely results both from partial solubilization of gelatin in buffer and from passive diffusion of 333 lysozyme in the gelatin network of films. Moreover, since films were in a rotary shaker, it can be 334 considered that lysozyme concentration in buffer was homogeneous, unlike when gelatin films 335 were placed in direct contact with agarose gel cylinders. At the beginning of desorption 336 337 experiments in stirred buffer, lysozyme concentration in buffer is close to zero: this results in a higher lysozyme concentration gradient at the interface between films and surrounding medium 338 339 (buffer) and thus in accelerating lysozyme desorption compared to desorption under static conditions. 340

Figure 2B shows the release rate of lysozyme from gelatin films in 2% (w/v) agar gel cylinders 341 342 in direct contact at 4°C for 288h (12 days). Agar gel was used as a simulant of semi-solid foods and the temperature of 4°C mimicked refrigeration temperature. Following a progressive release 343 344 of lysozyme from control non-crosslinked films to agar gel in direct contact until 144h (day 6), there was a sudden release of lysozyme from control films between 144h (day 6) and 168h (day 345 7): the release rate of lysozyme increased from 46.9% to 70.9%. This sudden release was likely 346 347 due to swelling of gelatin films, which favored mobility of gelatin chains and thus lysozyme release. After 288h (12 days), 74.5% of total lysozyme was released from the control films. 348 Interestingly, the release rate of lysozyme from crosslinked films was lower and crosslinking of 349 350 films prolonged quasi-linear trend of lysozyme release kinetics up to 12 days. Nevertheless, 68.9% of total lysozyme was released from crosslinked films after 288 h (12 days). The effect of 351 enzymatic crosslinking of gelatin-based films on the depth of penetration of lysozyme in agar gel 352

in direct contact over time can also be observed in Figure 2B. Interestingly, while the quantity of 353 active lysozyme remained higher in the upper slice of agar gel, corresponding to a less than 1 354 mm depth of penetration, until 10 days of contact with control gelatin films, the quantity of 355 active lysozyme in this superficial zone of agar gel was higher than more in depth in the agar 356 cylinder during the 12 days of contact at 4°C with crosslinked films. This means that such 357 gelatin-based films with lysozyme crosslinked by MTGase can maintain a higher concentration 358 359 of lysozyme in this superficial zone for a longer time. Since most of contaminations by unwanted 360 microorganisms occur in this superficial zone of semi-solid high-moisture perishable foods, this observation is promising for the rational design of active gelatin-based films with lysozyme to 361 362 extend the shelf life of such foods.

Such a possibility to slow down the kinetics of release of doxycycline from composite gelatin-363 chitosan films (Tormos et al., 2015), of docetaxel drug model from conjugated casein hydrogel 364 365 (Yin et al., 2012), of lysozyme from sodium caseinate films (De Souza et al., 2010), or of natamycin from gelatin films (Wu et al., 2017) by enzymatic crosslinking of these protein-based 366 films or hydrogels had already been reported in the literature. However, to our knowledge, this is 367 the first direct observation of the controlled release of lysozyme from gelatin-based films 368 crosslinked by MTGase. The slower release of lysozyme from crosslinked gelatin films might 369 370 result both from a decrease of the diffusion of lysozyme in the gelatin network of films, which is likely denser and potentially less swollen as a result of enzymatic crosslinking of gelatin chains, 371 but also from a more limited solubilization of gelatin in the aqueous phase of agar gel. 372

Fitting the kinetics of release of lysozyme from films to either buffer or agar gel with different controlled release models is a way to estimate the dominant mechanisms of transport of lysozyme in these two experimental situations. Therefore, the fitting of experimental data with Higuchi (cumulative percentage of release versus square root of time), Ritger-Peppas, or Kopchaequations were compared (Table 2).

As judged by the R² values, Higuchi model could not fit the kinetics of release of lysozyme from 378 gelatin films to buffer. To find the best model with the highest correlation coefficient and the 379 lowest degree of freedom, the R²/RMSE coefficient was calculated. Ritger-Peppas and Kopcha 380 models with the highest R²/RMSE values (i. e. 15.11 and 6.93, respectively) were thus selected 381 382 as the best models for the release of lysozyme from control and from crosslinked gelatin films in 383 stirred buffer, respectively. Since a n \leq 0.5 value for Ritger-Peppas model was calculated for control films (i. e. n=0.17), this indicates Fickian diffusion release behavior. For crosslinked 384 385 films, since k_1 value (0.28) exceeded k_2 value (0.02) calculated to fit the data with Kopcha model, this also indicates that lysozyme kinetics of release from films to stirred buffer was 386 387 dominated by diffusion inside the films.

Regarding kinetics of release of lysozyme from control and crosslinked films to agar gels in direct contact, Ritger-Peppas model was selected as the best model to fit the data with R²/RMSE values of 9.52 and 11.77, respectively. Since calculated n values (0.37 and 0.4 for control and crosslinked films, respectively) were both less than 0.5, this suggests that transport of lysozyme from gelatin films to agar gels is mainly governed by Fickian diffusion.

Whatever the model, k or k_1 constants reported in Table 2 were always higher when modelling release of lysozyme to stirred buffer, than when modelling its release to agar gels. This is consistent with the fact that the first situation accelerates desorption of lysozyme from films.

Indeed, when comparing modelling of kinetics of release of lysozyme in buffer under constant stirring conditions and in agar gels in direct contact, one must keep in mind, that, in the former case, kinetics of desorption of lysozyme from films to the surrounding buffer are the limiting factor, while, in the second case, desorption of lysozyme from films to the surface of gels results in its accumulation at the vicinity of films: this results in the increase of lysozyme concentration in the zone of gel in direct contact and thus in a decrease of the gradient of concentration of lysozyme between films and their surface, which slows down its release kinetics.

403

404 3.1. Fourier-transform infrared (FTIR) spectroscopic analysis of films

FTIR spectroscopic analysis results are presented in Figure 3 (wavenumbers $3700-2700 \text{ cm}^{-1}$ (A) and $1800-800 \text{ cm}^{-1}$ (B)) and in Table 3 (attribution of characteristic bands of films spectra). Five typical zones have been identified in FTIR spectra of films. In wavenumber 2950-2850 cm⁻¹ region (Fig. 3A), two bands, which can be attributed to asymmetric (around 2940 cm⁻¹) and symmetric (2875 cm⁻¹) vibrations of CH or CH₂ (Nandiyanto et al., 2019), were observed. The contribution of this region is minor, which was expected, considering that these groups are poorly represented in proteins like gelatin, in contrast with lipids.

- 412 Four domains specific of proteins are present:
- 413 amide A and B (around 3270-3290 cm⁻¹), major region
- 414 amide I (around 1620-1650 cm^{-1}), major region
- 415 amide II (around 1520-1535 cm⁻¹), major region
- 416 amide III (around 1237 cm^{-1}).
- 417 Moreover, lower wavenumbers can be attributed to short peptides chains (1080 cm⁻¹) and/or to
- 418 interactions between glycerol and gelatin ($\approx 1030 \text{ cm}^{-1}$) (Table 3).
- Like in previous studies (Nagarajan et al., 2012; Wang et al. 2015; Liu et al., 2017), changes in
- 420 gelatin FTIR spectra were observed following enzymatic crosslinking of gelatin-based films by
- 421 MTGase. These modifications concern mainly the three major amide regions (amides A and B

region and amides I and II regions), suggesting changes in the secondary structure and in functional groups. Addition of lysozyme in crosslinked films formulation induced some new conformational changes in FTIR spectrum of gelatin in the same zones. Nevertheless, some of these modifications were still observed after lysozyme release (Figure 3), likely because not all lysozyme was released from films: indeed, only 68.9% of lysozyme activity initially loaded in crosslinked gelatin films was recovered after 12 days contact with agarose gels.

428 Amide A band wavenumber was similar in control and crosslinked films without lysozyme, 429 while a clear shift from 3077 to 3070 cm⁻¹ of amide B band was observed following gelatin crosslinking by MTGase. According to Barth (2007), hydrogen bonds stabilize the structure of 430 431 proteins, and FTIR spectroscopy can be used to determine the strength of hydrogen bonds. More precisely, as a general rule, hydrogen bonding lowers the frequency of stretching vibrations, 432 since it lowers the restoring force, but increases the frequency of bending vibrations, since it 433 434 produces an additional restoring force. Considering that the mechanism of activity of MTGase consists in building isopeptide bonds between Gln and Lys residues of gelatin, a decrease of IR 435 wavenumbers of amide B and amide A bands was expected, but with higher differences notably 436 for amide A band. The lack of effect of enzymatic crosslinking on FTIR spectra of gelatin-based 437 films could be notably explained by the gelatin preparation process. Thus, normally a free NH 438 stretching associated to amide A band exhibits a frequency a 3400-3440 cm⁻¹ but, gelatin 439 preparation procedures which are using heating at high temperature (100°C) lead to lower the 440 wavenumbers at around 3280 cm⁻¹ (Nagarajan et al., 2012). This could explain that the amide A 441 couldn't be used as a crosslinking indicator in our studies (notably if the crosslinking degree is 442 low). However, Nagarajan and collaborators also mentioned that lowest amide B wavenumber 443 suggests the interactions of NH₃ group between peptide chains [30]. 444

445 The incorporation of lysozyme induced a slight decrease of amide A bands and in contrast an 446 increase of amide B one. After lysozyme release, the 2 bands are shifting, these observations 447 suggest that lysozyme could have modified the stability of gelatin network.

In amide I region, Wang et al (2015) observed a band shift from 1641 to 1637 cm⁻¹. Crosslinking with transglutaminase highest concentration (8U/g) induced a highest band shift. These researchers concluded that increasing the concentration of MTGase favoured conformational changes of the secondary structure of proteins. In the present study, a slight shift from 1629 to 1627 cm⁻¹ was observed, while no differences were observed in amide II region.

To describe changes in amide III region, Wang et al (2015) observed that the crosslinking of 453 454 gelatin network by MTGase leads to an increase of amide I bands and a decrease of amide III bands. This effect is enhanced when increasing MTGase concentration. Consistently with these 455 observations, an increase of amide I band intensity was also observed in our study. However, non 456 457 significant effect on amide III band was observed. Furthermore, we also noted a decrease of amide B band. The 2 bands around 1030 and 1080 cm⁻¹ can notably be attributed to C-O 458 stretching vibrations of short peptides chains and to the interactions between glycerol and gelatin 459 (Nagarajan et al., 2012). Interestingly, the 1080/1030 cm⁻¹ ratio was positive for crosslinked 460 films without lysozyme and crosslinked films after lysozyme release, while it was negative for 461 462 the two other analysed films (control gelatin film and crosslinked film with lysozyme).

463

464 **4.** Conclusion

466 Enzymatic crosslinking of gelatin-based films by MTGase resulted in a decrease of their 467 solubility in water from $73.1\% \pm 4.7\%$ to $25.3\% \pm 2.3\%$. Interestingly, besides improving other

468 physical properties of films such as their tensile strength, enzymatic crosslinking of gelatin-based 469 films incorporated with lysozyme significantly decreased the kinetics of release of this food 470 preservative to food simulants (buffer or agar gel placed in direct contact). Enzymatic 471 crosslinking of gelatin-based films containing lysozyme is thus promising to design edible films 472 extending the shelf life of some perishable foods by inhibiting the growth of lysozyme-sensitive 473 bacteria.

474

475 Declaration of competing interest: The authors confirm that they have no conflicts of interest476 with respect to the work described in this manuscript.

477

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575 **Figure captions**

Figure 1: Schematic representation of experiments to monitor kinetics of release of lysozyme from
gelatin-based films to 2% (w/v) agar gels.

Figure 2: (A) Kinetics of release of lysozyme from crosslinked (red circle) and non-crosslinked (blue triangle) gelatin-based films in the buffer. (B) Kinetics of release of lysozyme from crosslinked (unfilled column) and non-crosslinked (filled column) gelatin-based films to 5 mm height agar gel cylinders. Slice 1 (0-1 mm: black), slice 2 (1-2 mm: red), slice 3 (2-3 mm: blue), slice 4 (3-4 mm: purple) and slice 5 (4-5mm: green). 5 mm height agar cylinders were cut in five 1 mm width slices to monitor lysozyme concentration as a function of distance to gelatin-based films with lysozyme at regular time intervals.

Figure 3: FTIR spectra of gelatin films at wavenumbers of 3700 - 2700 cm⁻¹ (A) and 1800 - 800 cm⁻¹ (B). Non-crosslinked gelatin film without lysozyme (blue curve), crosslinked gelatin film without lysozyme (black curve), crosslinked gelatin film with lysozyme (red curve), and crosslinked gelatin film with lysozyme after release (green curve).

Figure 1

Gelatin-based film with lysozyme () (35 mm diameter)



Agar gel cylinder mimicking semi-solid food (5 mm height, 35 mm diameter)



Contact between gelatin-based film and agar gel cylinder at 4°C in at 75% RH atmosphere for up to 12 days



Cutting of agar cylinders in five 1mm thickness slices



Extraction of lysozyme from agar slices and subsequent assay of its enzymatic activity

Figure 2













Table 1: Physico-chemical properties of gelatin-based films as a function of MTGase content in the film-forming suspensions (FFS).

MTGase content	Thickness	Cross-linking	Moisture	Solubility in	Swelling	Water vapor	Tensile	Elongation
(g.100mL ⁻¹ FFS)	(µm)	degree (%)	content (%)	water (%)	index (%)	permeability	strength	at break (%)
						$(\times 10^{-9} \text{g m}^{-1} \text{s}^{-1})$	(MPa)	
						¹ Pa ⁻¹)		
Control	253±20 ^a	0.0±0.0 ^a	11.3±0.9ª	73.1±4.7 ^a	84.2±4.9 ^a	4.6±0.3ª	35.2±2.0 ^a	67.0±2.2ª
0.14	226±5 ^{ab}	26.4±7.4 ^b	10.9±0.5ª	27.3±2.1 ^b	78.3±1.3 ^b	4.2±0.1 ^{ab}	43.5±1.6 ^b	65.5±1.3 ^a
0.28	210±0 ^b	47.0±2.6 ^c	10.3±1.4 ^a	29.8±1.7 ^b	75.6±0.2 ^{bc}	3.8±0.1 ^b	45.0±1.7 ^b	98.6±29.9 ^b
0.42	173±28 ^c	52.1±1.2 ^c	9.6±0.8 ^a	25.3±2.3 ^b	72.8±1.8 ^c	3.2±0.5°	54.9±1.3°	84.4±7.6 ^b

The data represent the mean \pm one standard deviation (n=3). Means with the same letters are not significantly different (P \leq 0.05).

Parameters	k or k ₁		k ₂ or n		SSE		\mathbb{R}^2		RMSE	Ξ	R ² /RM	SE
MTGase	0	0.42	0	0.42	0	0.42	0	0.42	0	0.42	0	0.42
(g.100mL ⁻¹)												
Higuchi (b)	k=0.15	k=0.13	-	-	0.54	0.35	0.32	0.48	0.27	0.22	1.16	2.02
Higuchi (g)	k=0.063	k=0.058	-	-	0.17	0.11	0.89	0.92	0.1	0.08	8.48	11.00
Rigter-Peppas (b)	k=0.49	X	n=0.17	X	0.02	х	0.96	х	0.06	X	15.11	Х
Rigter-Peppas (g)	k=0.11	k=0.09	n=0.37	n=0.4	0.14	0.09	0.92	0.93	0.09	0.07	9.52	11.77
Kopcha (b)	k ₁ =0.35	k1=0.28	k ₂ =-0.02	k ₂ =-0.02	0.06	0.09	0.92	0.86	0.10	0.12	9.22	6.93
Kopcha (g)	k1=0.07	k ₁ =0.06	k ₂ =0	k ₂ =0	0.11	0.17	0.90	0.92	0.08	0.1	8.48	10.68

Table 2: Estimated parameters of different models for lysozyme release kinetics from control and crosslinked gelatin films to foodmimicking media (stirred buffer (b) or agar gel (g)).

-: not relevant, x: absence of fitting, SSE: Sum of Squared estimate of Errors, R²: coefficient of determination, RMSE: Root Mean

Square Error

Table 3: Main absorption bands of FTIR spectra (with corresponding maximum absorption wavenumbers (in cm⁻¹) and corresponding

attribution of gelatin films components.

MTGase (FTIP	Lysozyme (FTIP	Control non	Crosslinked	Crosslinked	Crosslinked	Description	References
spectrum	spectrum	gelatin film	(without	with	after		
not	not shown)	(without	(without	lysozyme	lysozyme		
shown)	not shown)	(without lysozyme)	rysozyme)	rysozyme	release		
5110 W II)		193029110)			3295 cm^{-1}	- amide A: N-H stretching coupled with hydrogen	Nagaraian et
	2270 am ⁻¹	2291 am ⁻¹	2202 am ⁻¹	2278 am ⁻¹	5295 CIII	bonding	al 2012. Lin et
	5270 CIII	5281 CIII	5285 CIII	5278 CIII		bonding	al., 2017;
							Barth, 2007
		3077 cm ⁻¹	3070 cm ⁻¹	3079 cm ⁻¹	3075 cm ⁻¹	- amide B: asymmetric stretching of C-H and NH ₃	Nagarajan et al., 2012
	2934 cm ⁻¹	2936 cm ⁻¹	2944 cm ⁻¹	2933 cm ⁻¹	2936 cm ⁻¹	- C-H stretching vibrations of -CH ₂ (asymmetric)	Nandiyanto et al., 2019
2916 cm ⁻¹						- C-H stretching vibrations of -CH ₂ (asymmetric)	Nandiyanto et al., 2019
	2873 cm ⁻¹	2875 cm ⁻¹	2875 cm ⁻¹	2878 cm ⁻¹	2878 cm ⁻¹	- C-H stretching vibrations of -CH ₂ (symmetric)	Nandiyanto et al., 2019
1637 cm ⁻¹	1639 cm ⁻¹					- amide I: mainly C=O stretching ; C-N stretching vibrations	Liu et al., 2017; Barth, 2007
		1629 cm ⁻¹	1627 cm ⁻¹	1631 cm ⁻¹	1629 cm ⁻¹	- hydrogen bonding in combination with COO	Nagarajan et al., 2012
		1534 cm ⁻¹	1523 cm ⁻¹	1534 cm ⁻¹	1532 cm ⁻¹	- amide II: mainly N-H and C-N stretching vibrations	Liu et al., 2017; Barth, 2007
	1233 cm ⁻¹	1238 cm ⁻¹	1236 cm ⁻¹	1237 cm^{-1}	1236 cm ⁻¹	- amide III: vibrations in C-N (stretching) and N- H groups of bound amine (deformation)	Wang et al., 2015 ;
						- amino acids signature: vibrations of CH ₂ groups of glycine (backbone) and/or proline side chains	Nagarajan et al. 2012
1077 cm ⁻¹		1081 cm ⁻¹	1080 cm ⁻¹	1080 cm ⁻¹	1081 cm ⁻¹	- C-O stretching vibrations of short peptide chains	Nagarajan et al., 2012
		1036 cm ⁻¹	1034 cm ⁻¹	1032 cm ⁻¹	1031 cm ⁻¹	 - interaction between glycerol (C-O stretching) and gelatin - C-O stretching vibrations of short peptide chains 	Nagarajan et al., 2012