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# Effect of interspecific competition on species sensitivity distribution models: analysis of plant responses to chemical stress

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*Keywords:* Species Sensitivity Distribution, environmental risk assessment, isoproturon, herbicide, biotic interaction, multi-stress

#### 1 Abstract

| 2  | Species Sensitivity Distributions (SSD) are widely used in environmental risk $% \left( {\left( {{\rm{SSD}}} \right)_{\rm{species}}} \right)$ |
|----|---|
| 3  | assessment to predict the concentration of a contaminant that is hazardous for  |
| 4  | $5\%$ of species (HC_5). They are based on monospecific bioassays conducted in  |
| 5  | the laboratory and thus do not directly take into account ecological interactions.  |
| 6  | This point, among others, is accounted for in environmental risk assessment   |
| 7  | through an assessment factor (AF) that is applied to compensate for the lack of   |
| 8  | environmental representativity. In this study, we aimed to assess the effects of  |
| 9  | interspecific competition on the responses towards isoproturon of plant species   |
| 10 | representative of a vegetated filter strip community, and to assess its impact on   |
| 11 | the derived SSD and $\mathrm{HC}_5$ values. To do so, we realized bioassays confronting six   |
| 12 | herbaceous species to a gradient of isoproturon exposure in presence and absence  |
| 13 | of a competitor. Several modelling approaches were applied to see how they  |
| 14 | affected the results, using different critical effect concentrations and investigating  |
| 15 | different ways to handle multiple endpoints in SSD. At the species level, there   |
| 16 | was a strong trend toward organisms being more sensitive to isoproturon in  |

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presence of a competitor than in its absence. At the community level, this trend

18 was also observed in the SSDs and HC<sub>5</sub> values were always lower in presence of

a competitor (1.12 to 11.13 times lower, depending on the modelling approach).

Our discussion questions the relevance of SSD and AF as currently applied in

21 environmental risk assessment.

#### <sup>22</sup> 1. Introduction

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Long-term anthropogenic activities disrupt the environment. Due to the 23 complexity of the interactions between living organisms, the accurate evaluation 24 of the effects of these disturbances is delicate. Environmental Risk Assessment (ERA) is used to quantify the risk posed by contaminants and other environ-26 mental perturbations to living organisms. It mainly consists in the derivation 27 of Predicted Environmental Concentration (PEC) and their comparison to Pre-28 dicted No Effect Concentration (PNEC) to assess the risk of chemicals on the 29 environment (Amiard and Amiard-Triquet [2015]). Assessment factors (AF) are 30 applied to secure the procedure. Such AF take into account the lack of real-31 ism of some conditions of classical ecotoxicological experiments and thus aim 32 to extrapolate to realistic environmental conditions by including biotic interac-33 tions, intraspecific variability or intra and interlaboratory variability (Amiard 34 and Amiard-Triquet [2015]). The different ERA methods are divided in 4 tiers 35 (Aagaard et al. [2013]), the higher tiers using more ecologically relevant data. 36 Results from methods of higher tiers (*i.e.* more environmentally relevant meth-37 ods) are divided by lower AF than those obtained by lower tier methods. 38 The Species Sensitivity Distribution (SSD; Kooijman [1987], Posthuma et al. 39 [2001]) is a tier 2 ERA method made to produce PNEC at the community level 40 for a given contaminant using data obtained from monospecific tier 1 bioassays. 41

<sup>42</sup> The SSD is a distribution of CECs (Critical Effect Concentrations such as Ef-

<sup>43</sup> fective Concentrations (EC) or Benchmark Doses (BMD)) obtained for different

species for a given contaminant. The species under study have to be chosen to

be consistent with the mode of action of the studied contaminant and represen-45 tative of the considered environment (Van den Brink et al. [2006]). The SSD 46 can lead to the derivation of the Hazardous Concentration for five percent of 47 the species (HC<sub>5</sub>). This value, after application of an AF (ranging from 1 to 5) 48 for SSDs; Amiard and Amiard-Triquet [2015]), is commonly used in SSD-based 49 ERA (for example in the United States of America: Stephan et al. [1985] and 50 the European Union: European Chemical Bureau [2003]). The SSD method is 51 however based on several assumptions listed in Forbes and Calow [2002] that 52 still need to be tested. 53

In particular, very few attempts have been realized to test the effects of 54 biotic interactions on organisms response against contaminants. In the field, or-55 ganisms are however affected by different types of biotic interactions that can be intra or interspecific. Those interactions can have positive (comensalism, sym-57 biosis or facilitation for example) or negative (competition for example) effects 58 on the organism fitness. Biotic interactions can therefore lead to modifications 59 of the effects of contaminants the organisms are exposed to. For example, Foit 60 et al. [2012] used a dose-response design to test the effects of intra and inter-61 specific competition on Daphnia magna and Culex pipiens molestus response 62 to fervalerate. They found that competition tended to increase the toxic effect 63 of fenvalerate on the two tested organisms and modified community dynam-64 ics, meaning that toxic exposure might disturb natural community for a longer 65 period than predicted by monospecific bioassays alone. Gust et al. [2016] inves-66 tigated the effects of intraspecific competition on *Daphnia magna*'s tolerance to 67 copper and lead using a dose-response design. They concluded that intraspe-68 cific competition tended to increase *Daphnia magna* mortality caused by Cu 69 and Pb. Viaene et al. [2015] modeled the effects of intraspecific competition, 70 interspecific competition by *B. calyciflorus* and predation by *Chaoborus* sp. (at 71 low and high densities plus control for each type of biotic interaction) on the 72 response of *Daphnia maqna* against pyrene. They found that predation had the 73 highest negative effect on daphnia density under chemical stress and that com-74 petition and predation tended to have antagonistic effects on daphnia tolerance 75

to pyrene. One of the hypothesis for this unexpected effect was that pyrene 76 would have modified the community composition, leading to reduced negative 77 biotic interactions. These studies all used macroinvertebrate species and mostly 78 Daphnia magna, and their conclusions need to be extended to other taxonomic 79 groups. For plants, which represent a key compartment of the ecosystems, very 80 few studies have been conducted to test interaction effects between biotic inter-81 actions, such as competition, and chemical stress responses. Such a question is 82 however essential for sessile organisms like plants, since they need to be toler-83 ant to all local negative environmental conditions to survive and maintain their 84 population. Results mainly highlighted the existence of interactions between 85 chemical stress responses and competitive interactions between plant species 86 (Boutin et al. [2019], Damgaard et al. [2014]), reinforcing the need to take into 87 account such biotic interactions in ERA procedures.

To our knowledge the effects of biotic interactions on SSD results were in-89 vestigated in only one study by De Laender et al. [2008]. In this study, the 90 effects of ecological interactions on SSD results were assessed by a mechanistic 91 dynamic ecosystem model involving two phytoplancton, three zooplancton and 92 one fish compartments and interspecific interactions between them (predation, 93 competition...). A toxic effect submodel, embedded in the ecosytem model, de-94 scribed the effects of the toxicants on the parameters of the ecosystem model ٩F (potentially affected parameters were mortality rate for zooplancton and fish 96 and photosynthesis rate for phytoplancton). One thousand theoretical contami-97 nants were used with randomized 10% effect concentration (EC<sub>10</sub>) values drawn 98 from a log-normal distribution (SSD without taking ecological interactions into 90 account). Those  $EC_{10}$  values were used in the mechanistic dynamic ecosys-100 tem model, thus simulating the subsequent modifications of the ecosystem fate. 101 New  $EC_{10}$  values derived from those simulations were calculated to obtain SSD 102 taking ecological interactions into account. For about 25% of those 1000 con-103 taminants (254) taking into account biotic interactions led to a change in mean 104 (190), standard deviation (94) or both (30) of the SSD compared to the one 105 derived from  $EC_{10}$  produced without taking into account those interactions. 106

Moreover, results showed that this trend was higher for herbicides, implying, that the derived  $HC_5$  would have great chances to be different. However, this work needs to be confirmed by data-based studies and to be extended to other types of communities.

Large amount of pesticides are used worldwide to treat a wide diversity of 111 human-made systems. This is the case of croplands to ensure high agricultural 112 productivity, but also of vegetated sport fields and green spaces, to control 113 specific plant composition (Alavanja [2009]). Pesticides have effects on the tar-114 geted organisms within the treated areas but also on non-targeted organisms 115 outside those areas (Crone et al. [2009]). Indeed, due to drift, leaching, and 116 runoff, those contaminants are found in terrestrial (Geiger et al. [2010]) but 117 also largely in aquatic ecosystems (Anderson et al. [2015], Annett et al. [2014], 118 McMahon et al. [2012]). Isoproturon, for example, is a substituted urea photo-119 synthesis inhibitor, which is often used as a pre- and post-emergence herbicide in 120 cereal crops. Before its banning in the EU in 2017 because of potential ground-121 water contamination and risks to aquatic life (European Commission [2016]), 122 isoproturon showed a median concentration of 0.02 mg/kg of soil in investigated 123 European agricultural soils (Silva et al. [2019]). The degradation and fate of 124 isoproturon has also been studied. Johnson et al. [1994] showed that 7 days 125 after isoproturon treatment, concentrations were about 9 mg/kg of soil, after 78 126 days this concentration was about 1 mg/kg of soil and after 155 days this con-127 centration was above 0.5 mg/kg of soil. More generally, isoproturon was also 128 found to contaminate rivers from one year to another (Dragon et al. [2019]), 129 and to persist in the soil for at least 3 years after application allowing it to be 130 mobilised by increasing rainwater (Johnson et al. [2001]). It is thus clear that 131 wide diversity of communities, within or outside pesticide-treated areas, are 132 exposed to numerous pesticides, with concentrations ranging from residual to 133 acute levels. But these communities, associating several species, are also the 134 site of numerous biotic interactions, such as competition. This is particularly 135 the case for plant communities, which, as sessile organisms, may thus be sub-136 mitted simultaneously to both pesticide exposure and potentially strong biotic 137

interactions. Plant communities are therefore a relevant community model to 138 test the assumption: "Interactions between species do not influence the sen-139 sitivity distribution" highlighted by Forbes and Calow [2002] about the SSD 140 method. Considering the studies listed above, we expected that 1) interspecific 141 competition would have a negative effect on organism responses to toxicants in 142 our study and that 2) the protective concentration for 95% of species would be 143 lowered by interspecific competition. To test these assumptions, a dataset of 144 monospecific bioassays results on 6 herbaceous species exposed to isoproturon 145 in presence and absence of competition was produced. We then built SSDs 146 with and without competition and compared them. For this purpose, we tried 147 to characterize the effects of interspecific competition on SSD results using a 148 variety of CECs as well as endpoints and ways to handle them. 149

#### 150 2. Materials and methods

#### 151 2.1. Dataset

# 152 2.1.1. Tested species and competitor species

Six herbaceous grass species were chosen as representative of a model vege-153 tated filter strip community. They were selected to represent the natural plant 154 diversity existing in terms of isoproturon tolerance and of competitive abil-155 ity: Dactylis glomerata, Lolium multiflorum, Arrhenatherum elatius, Trisetum 156 flavescens, Poa pratensis, Poa trivialis. Bromus erectus was chosen as a com-157 petitor species to ensure a competitor pressure as constant as possible along 158 the experiment duration. All the seeds were obtained from the Phytosem seed 159 company (Gap, Hautes-Alpes, France). 160

#### 161 2.1.2. Experimental design

The pesticide used for exposure was isoproturon, a phenyl-urea photosystem II inhibitor. Despite its recent banning in EU, isoproturon environmental persistence, use in some no-EU countries, and common mode of action, similar to numerous worldwide used herbicides, still make this molecule a relevant pesticide model to study (Alberto et al. [2018], Eker [2019], Johnson et al. [2001]).

Ecotoxicological bioassays were realized for the six tested species and the two 167 competition modalities (absence or presence of the competitor). Experiments 168 were realized in microcosms under controlled conditions (20°C; 16 hours day 169 of light at  $120\mu$ mol photons/m<sup>2</sup>/s). Three-liter-round microcosms (20 cm di-170 ameter, 12.9cm height) containing inert sterilized vermiculite as substrate were 171 vegetalised by transferring seedlings at 2-leaves phenological stage previously 172 grown from seeds in the absence of chemical stress. For each microcosm, one 173 seedling of the tested species was placed at the center of the enclosure, and 174 for the competition treatment, 37 seedlings of Bromus erectus were planted 175 according to a standardized hexagonal pattern corresponding to 3 circles of, 176 respectively, 6, 12, and 19 seedlings at equal distance (Birch et al. [2007]). Mi-177 crocosm contamination with isoproturon began after a 4-days acclimatization. 178 Plant exposure to isoproturon was carried out by substrate watering in order to 179 induce root chemical exposure. Continuous chemical stress was performed by 180 watering the device with 150 mL of contaminated nutritive solution [Hoagland 181 basal salt mix (No2, Caisson Laboratories, Smithfield, UT, USA) at 0.82 g/L, 182 pH6] twice a week during the 25 days experiment. Five isoproturon (Cluzeau 183 Indo Labo, Sainte Foy la Grande, France) concentrations (0.25, 0.5, 1, 1.5 and 184  $1.75 \mu$ M, corresponding to, respectively, 51.5, 103, 206, 309, and  $360 \mu$ g/L) plus 185 a control were used. For each concentration (6 concentrations including the 186 control) and each competition modality (absence or presence of competitor), 187 eight replicates were realized, leading to 96 microcosms per tested species. 188

#### 189 2.1.3. Studied endpoints and metrics

At the end of the experiment, eleven endpoints were measured on the tested species, and an additional metric of dry mass (DM) ratio was calculated. These endpoints were chosen in order to detect the effects of the different treatments (herbicide exposure, competition) applied to the tested species in light of the literature. In addition to global traits (DM traits, shoot height, root length), some traits were preferentially chosen for their responses to herbicide (meximum efficiency of photosystem II determined from Fv/Fm, pigment contents), and to

competition (root/shoot DM ratio, Specific Leaf Area (SLA), Leaf Dry Mat-197 ter Content (LDMC), and ligula height corresponding to stem height). Fv/Fm 198 chlorophyll fluorescence and pigment contents were measured as previously de-199 scribed in Serra et al. [2013]. SLA and LDMC were quantified as described 200 in Cornelissen et al. [2003]. Bromus shoot dry biomass was also weighted, for 201 each microcosm, at the end of the experiment. Analysis of these DM data 202 showed that mean *Bromus* shoot DM was similar between species and isopro-203 turon treatments, thus allowing to compare all treatment modalities carried out 204 in the experiment. 205

### 206 2.2. Data analysis

Critical Effect Concentration values are the elementary components necessary to build SSD. Those CECs were obtained following a stepwise procedure: 1) transformation of data for some endpoints, 2) selection of responsive endpoints for a given species, 3) fitting of concentration-response curves, 4) derivation of CEC values from the fits. The whole modelling process was implemented under the R environment (version 3.5.2; R Core Team [2019]).

#### 213 2.2.1. Data transformations

Concentration-response curves fitting using non-linear regression assumes a 214 Gaussian error model. Accordingly, the first step was to apply a transforma-215 tion on response for some endpoints as an attempt to improve homoscedastic-216 ity and residuals normality. For each endpoint, we built two ANOVA models 217 where measured values were explained by species, competition modality and iso-218 proturon concentration combinations so that the remaining variability is only 219 inter-replicate variability: one model was built with raw data and the other 220 after transformation of the data. 221

We then visually inspected the residuals of the models to see if the transformation improved homoscedasticity and residuals normality and in that case, we kept it. Different transformations were tested, depending on the considered endpoint. For the Fv/Fm chlorophyll fluorescence endpoint, which is a proportion, we applied a logit transformation (log (p/(1-p))) to change its scale, after having previously changed the 0 values (3 out of 471 Fv/Fm measurements) to 0,01 (corresponding to about one fourth of the lowest non-zero value of 0,0395). For every other endpoint, a log transformation was tested.

#### 230 2.2.2. Selection of responsive endpoints

Some of the measured endpoints did not present any variation against the 231 isoproturon treatment. Concentration-response modelling was meaningless in 232 such cases and led to numerical issues. A selection step was therefore applied 233 to keep "responsive" endpoints for each species i.e. those that have exhibited 234 variations according to isoproturon concentration. With six species, eleven end-235 points and two competition modalities, we had 132 subdatasets. It would have 236 been complicated to inspect visually every subdataset to find the responsive 237 ones. We thus decided to test for their responsivity numerically using the same 238 procedure as in Larras et al. [2018]. We used a linear trend test to assess the 239 significance of a regression line linking the endpoint values to the isoproturon 240 concentrations. A Benjamini-Hochberg correction was applied on the p-values 241 to reduce false positive selection, due to the high number of realized tests. A 242 0.05 default threshold was used as false discovery rate. This procedure led to 243 select different endpoints for each species. However, for a given species, an end-244 point was selected only if it was responsive with and without competition to 245 enable a comparison of SSDs in both situations. 246

#### 247 2.2.3. Concentration-Response Curves modelling

A concentration-response relationship was fitted on data for each selected endpoint, species and competition modality combination. Non-linear regressions were realized with the drc R package (version 3.0-1; Ritz et al. [2015]) using a log-probit model (see equation 1).

$$y_{ij} = c + (d - c) * \phi \left( b * \left( \log \left( x_i \right) - \log \left( e \right) \right) \right)$$
(1)

where *i* refers to the  $i^{th}$  isoproturon concentration and *j* refers to the  $j^{th}$  repli-252 cate,  $x_i$  is the isoproturon concentration,  $y_{ij}$  is the response level of the endpoint, 253  $\phi$  is the cumulative probability density of the normal law, e is the concentra-25 tion at which the maximum slope occurs (equal to the  $EC_{50}$ ; > 0) b is a shape 255 parameter. if b < 0, c is the response level at high concentrations and d is the 256 response level at low concentrations. If b > 0, c is the response level at low 257 concentrations and d is the response level at high concentrations. If b = 0, the 258 whole model becomes a constant model set at the arithmetical mean between c259 and d. 260

#### 261 2.2.4. Critical Effect Concentration derivation

For each dose-response curve, two types of CEC were calculated. First, the 262 Effective Concentration which leads to x% of maximum effect (i.e. between the 263 parameters c and d) (EC<sub>x</sub>), was calculated. It is the most commonly used CEC 264 in ecotoxicology as it begins to be widely accepted that NOEC (No Observed 265 Effect Concentration) and LOEC (Low Observed Effect Concentration) suffer 266 from some important weaknesses (Jager [2012]). Although any x value could 267 be used, we have here studied  $EC_{50}$ , as it is the most widely used value in 268 ecotoxicological studies and it is a direct parameter of the log-probit model we 269 used, and  $EC_{10}$ , as this value is often used as a no-effect concentration proxy in 270 risk assessment (Iwasaki et al. [2015]). 271

Secondly, we calculated the Benchmark Doses  $(BMD_{Zsd})$  as an alternative to 272 EC<sub>x</sub>. This CEC, described in the EFSA guidance (EFSA Scientific Committee 273 et al. [2017]), has the advantage to take into account data variability. Indeed, 274 the  $BMD_{Zsd}$  is the concentration at which the Benchmark Response ( $BMR_{Zsd}$ ) is 275 reached, the latter being equal to a change of z times the model residual standard 276 deviation from the control mean. The z of BMD<sub>Zsd</sub> is therefore theoretically 277 speaking close to the x of  $EC_x$  as it defines the level considered to have a critical 27 effect. The EFSA guidance proposes to use a z value of 1 (EFSA Scientific 279 Committee et al. [2017]), but we also calculated BMD<sub>2sd</sub>. This latter would 280 correspond to a change up to one of the bounds of the 95% confidence interval 281

around the predicted value at the control (Larras et al. [2018]).

#### 283 2.2.5. SSD modelling

SSDs were built via three different scenarios that are commonly used in 28 scientific publications and regulatory texts. A fourth approach, adapted to our 285 multiple endpoint dataset but not used in regulatory texts has also been tested. 286 1) In a first scenario, the geometric mean of the obtained CECs for the different 287 endpoints has been used for each species. This approach has the advantage to 288 use all the information available for the different endpoints. This is also the 289 most commonly used approach in SSDs in the literature (Xu et al. [2015]). 2) 290 In a second scenario, we used the lowest CEC value obtained for each species. 291 This method is very protective, but it is only using a single value, thus making 292 it sensitive to potential outliers. 3) In a third scenario, only the total dry mass 293 endpoint was considered for each species. This was a responsive endpoint for all 294 of the species under study and is usually measured in ecotoxicological studies 295 on herbaceous grass species (Del Signore et al. [2016]). 4) The fourth approach 296 did not directly use CEC values. We first built sensitivity distributions of the 297 different endpoints for each species (Arts et al. [2008], Hanson and Solomon 29 [2002]) and called them "Endpoint Sensitivity Distribution" (ESD) by analogy 299 to SSDs. We then calculated the fifth percentile of these distributions for each 300 species as a protective concentration for 95% of the endpoints and used these 301 values to build the SSDs themselves. This ESD-using approach was considered 302 to be a good compromise between the two first approaches (*i.e.* the geometric 303 mean approach and the lowest value approach) as it takes into account all of 304 the available datapoints (like the geometric mean approach) but gives a more 305 protective result (like the lowest value approach) without being too sensitive to 306 outliers. 307

SSDs have been modeled with log-logistic distributions. The fits were done using the fitdistcens function from the fitdistrplus R package (version 1.0-14; Delignette-Muller and Dutang [2015]) to integrate censored data and HC<sub>5</sub> values were derived.

## 312 3. Results

# 313 3.1. Data transformation

After applying the procedure to chose which data to transform, the logit transformation was applied to Fv/Fm chlorophyll fluorescence and the log transformation to every other endpoints except chlorophyll and carotenoid contents.

#### 317 3.2. Selection of responsive endpoints

Table 1 presents the results of the plant species screening and endpoint re-318 sponsiveness. Five to nine endpoints were selected depending on the species. 319 Some endpoints were selected for all of the species. It is the case for Fv/Fm 320 chlorophyll fluorescence and the dry masses of root, shoot and total. In con-321 trast, some endpoints were not responsive to isoproturon exposure, such as 322 LDMC and pigment contents (Table 1). We noticed that some endpoints for 323 some species were not selected because of the very high variability between 324 replicates (for chlorophyll and carotenoid contents for example). In total, 42 325 couples of (species, endpoint) were selected, leading to the construction of 84 326 concentration-response curves (with and without competitor). 327

| Species                   | P. trivialis | $P. \ pratensis$ | T. flavescens | A. elatius | $L. \ multiflorum$ | D. glomerata |
|---------------------------|--------------|------------------|---------------|------------|--------------------|--------------|
| Ligula height             | Х            | Х                |               |            |                    | Х            |
| Max shoot height          | Х            | Х                |               |            |                    | Х            |
| Root length               | Х            | Х                |               | Х          |                    | Х            |
| LDMC                      |              |                  | Х             |            |                    |              |
| SLA                       |              | Х                | Х             |            | Х                  | Х            |
| $\mathbf{Fv}/\mathbf{Fm}$ | Х            | Х                | Х             | Х          | Х                  | Х            |
| Chlorophyll               |              | Х                |               |            |                    |              |
| Carotenoid                |              |                  |               |            |                    |              |
| Root DM                   | Х            | Х                | Х             | Х          | Х                  | Х            |
| Shoot DM                  | Х            | Х                | Х             | Х          | Х                  | Х            |
| Total DM                  | Х            | Х                | Х             | Х          | Х                  | Х            |
| Root DM/shoot DM          | Х            |                  | Х             |            |                    |              |

| Total selected | 8 | 9 | 7 | 5 | 5 | 8 |
|----------------|---|---|---|---|---|---|
|                |   |   |   |   |   |   |

Table 1: Responsive endpoints for the different tested species. An "X" indicates that the endpoint is considered responsive for the given species. DM stands for dry mass.

#### 328 3.3. Concentration-response curves modelling

Figure 1 shows an example of curve fitting for *P. pratensis* for the differ-329 ent selected endpoints. An example of fit for another species can be found in 330 SI (A). No numerical errors were encountered with this fitting procedure and 331 the models have been fitted correctly in every case. The different fits have 332 been visually checked and were consistent with the data. For every endpoint, 333 the concentration-response curve is decreasing with increasing isoproturon con-334 centrations, the only exception being SLA whose value is increasing with the 335 isoproturon concentration. 336

#### 337 3.4. Critical Effect Concentration derivation

Figure 1 also shows an example of CEC calculation for *P. pratensis*. The 33 values of the different CECs calculated for the selected endpoints for each species 339 can be found in SI (B). For  $BMD_{2sd}$ , a value could not always be calculated 340 as the  $BMR_{Zsd}$  was sometimes beyond the asymptote for high concentrations, 341 meaning that the amplitude of the response was in that case lower than 2 (for 342  $BMD_{2sd}$ ) times the model's residual standard deviation. This happened 12 times 343 on 84  $BMD_{2sd}$  calculations and never happened for  $BMD_{1sd}$  calculations even if 344 it was conceptually possible. As the  $EC_x$  effect level is a percentage of maximum 345 effect (between the two asymptotes), it was successfully calculated in every case. 346 There were however cases where the calculated  $EC_x$  or  $BMD_{Zsd}$  were above the 347 maximum concentrations. We considered those values as censored values in the 348 interval [maximum tested dose;  $+\infty$  ]. This happened 3 times among the 84 349 calculated  $EC_{10}$ , 20 times among the 84 calculated  $EC_{50}$  and 10 times among 350 the 84 calculated  $BMD_{2sd}$ . This did not happen for  $BMD_{1sd}$ . Figure 2 shows the 351 values of  $BMD_{1sd}$  calculated for the different species and endpoints (an example 352

for another CEC given in SI (C)). In most cases, the calculated CEC values were lower in presence of a competitor, thus showing that interspecific competition had a negative impact on organisms tolerance on most of the studied species and endpoints.

<sup>357</sup> We calculated for each  $BMD_{Zsd}$  value the corresponding percentage of re-<sup>358</sup> sponse (i.e. the x in  $EC_x$  corresponding to each  $BMD_{Zsd}$  value).  $BMD_{1sd}$ <sup>359</sup> corresponded in average to  $EC_{29.7}$  values (interquartile range of x equivalent <sup>360</sup> for  $BMD_{1sd}$ : [16.5, 38.3]) whereas  $BMD_{2sd}$  corresponded in average to  $EC_{49.0}$ <sup>361</sup> values (interquartile range of x equivalent for  $BMD_{2sd}$ : [32.4, 64.3]).

Figure 3 summarizes the CEC values calculated for the different CEC types, 362 species and endpoints and displays the value with competition against the value 363 without competition. All CEC being pooled, about 10% of points are between 364 the two dashed lines, thus showing that, in this case, competition had here mi-365 nor or no effect (less than a 5% variation in one way or another). Many more 366 points are below the dashed lines (70%) than above (20%), thus showing that 367 interspecific competition had mostly a negative effect on organism tolerance to 368 chemical stress in our experiment. This is consistent with the hypothesis stated 369 in introduction. This trend toward negative effect can be seen for every calcu-370 lated CECs and every species and endpoints tested even if it is not systematic. 371 This trend toward negative effect however seems less visible for  $EC_{50}$  (44%) 372 than for other CECs (69% for  $EC_{10}$ , 73% for  $BMD_{1sd}$  and 74% for  $BMD_{2sd}$ ). 373

#### 374 3.5. ESD and SSD modelling

An example of the fitted ESD for the different species for  $BMD_{1sd}$  is shown 375 in Figure 4. An exemple of figure for another CEC can be found in SI (D). 376 Figure 5 shows examples of SSDs calculated from BMD<sub>1sd</sub> and using the different 377 methods for handling multiple endpoints (minimum, mean, total dry mass and 37 "ESD"). An example of SSD obtained for another CEC is shown in SI (E). Table 379 2 summarizes the shifts between  $HC_5$  values with and without competition 380 through the ratios between  $HC_5$  values without competition and  $HC_5$  values 381 with competition. We can see in this table that every shift ratio was above one, 382

Figure 1: Examples of concentration-response fits for *P. pratensis*. The points represent the mean of data for each concentration and the curves the fitted models. Data without competition and with competition are highlighted in grey and black respectively. The dashed vertical lines represent the  $EC_{50}$  levels and the plain vertical lines the  $BMD_{1sd}$  levels.  $EC_{10}$  and  $BMD_{2sd}$  are not displayed here for reasons of clarity. DM stands for dry mass.



thus showing that the interspecific competition tended to lower plant tolerance at the community level. We can also see that the competition effect can lower the  $HC_5$  up to 11-fold, which strongly surpasses the Assessment Factor of 5 typically used in SSD-based ERA. This however happened only once and for the least robust method.

|                                | $EC_{10}$              | $\mathrm{EC}_{50}$    | $\mathrm{BMD}_{\mathrm{1sd}}$ | $\mathrm{BMD}_{\mathrm{2sd}}$ |
|--------------------------------|------------------------|-----------------------|-------------------------------|-------------------------------|
| Min value                      | $0.112/0.011\ (11.13)$ | $0.285/0.231\ (1.23)$ | $0.212/0.101\ (2.11)$         | $0.261/0.218\ (1.19)$         |
| Mean value                     | $0.197/0.090\ (2.19)$  | $0.435/0.388\ (1.12)$ | $0.291/0.196\ (1.48)$         | $0.494/0.371\ (1.33)$         |
| Dry mass                       | $0.125/0.077\ (1.63)$  | $0.180/0.130\ (1.38)$ | $0.219/0.113\ (1.94)$         | $0.215/0.187\ (1.15)$         |
| ESD 5 <sup>th</sup> percentile | 0.084/0.022 (3.84)     | $0.156/0.121\ (1.29)$ | $0.159/0.096\ (1.66)$         | $0.167/0.126\ (1.33)$         |

Table 2: Summary of  $HC_5$  values (in  $\mu M$ ) for the different CEC and multiple endpoints handling methods. The value before the slash is the  $HC_5$  without competition, the value after the slash the  $HC_5$  with competition and the value between brackets the ratio between the two  $HC_5$ .

Figure 2:  $BMD_{1sd}$  values for the different species and endpoints. A black "X" indicates that the endpoint was not selected for the considered species and that no CEC values was calculated. The grey marks are the CEC values without competition and the black ones are the values with competition. The lines linking those two marks for a given species and endpoint combination are solid lines when competition had a negative effect on organisms tolerance (CEC with competition lower than CEC without competition) and are dashed lines when competition had a positive effect on organisms tolerance (CEC with competition), thus leading to rather facilitation for the species. DM stands for dry mass.



Figure 3: Comparison of calculated CEC values in presence of a competitor (y-axis) against the CEC values in absence of a competitor (x-axis) for the four types of calculated CECs. The different species and the different endpoints are respectively described by different point shapes and by their shade of grey. The black solid line represents the first bisector and the two black dashed lines give a 5 percent variation above and below the first bisector. We considered that the points situated between those two dashed lines showed no variation with regard to the competition modality. DM stands for dry mass.



Figure 4: Example of Endpoint Sensitivity Distribution fits for  $BMD_{1sd}$  and the six tested species. The stairs represents the empirical cumulative distribution function of the data used to model the ESDs and the curves gives the ESDs themselves. In grey are the data without competition and in black the data with competition. The vertical dashed lines represent the fifth percentile.



Figure 5: Example of Species Sensitivity Distribution fits produced with  $BMD_{1sd}$ . The stairs represents the empirical cumulative distribution function of the data used to model the SSDs and the curves give the SSDs themselves. In grey are the data without competition and in black the data with competition. The vertical dashed lines represent the HC<sub>5</sub> levels. Each graphic displays a way to handle multiple endpoints: "Dry mass" is the SSD produced using the total dry mass for each species, "ESD" is the SSD produced using the 5<sup>th</sup> percentile from Endpoint Sensitivity Distribution of each species, "Mean" is the SSD produced using the mean value for each species and "Minimum" is the SSD produced using the minimum value for each species.



# 388 4. Discussion

# 389 4.1. Ecotoxicological relevance of bioassays

Usual ecotoxicological designs for plants only monitor very few endpoints 390 as root length (ISO/TC 190/SC 4 Caractérisation biologique [2012]) or mass 391 and seedling emergence (OCDE [2006]) and consider they are either represen-392 tative of the organism health (total dry mass for example) or they are primary 393 maker in response to contamination compared to the other possible endpoints 394 and are thus involved in the plant health (Krewski et al. [2011]). Among the 395 eleven (or twelve if including the DM ratio, cf Table 1) endpoints measured, dry 396 mass endpoints were found responsive to chemical stress for every species, thus 39 reflecting plant health in accordance with the literature. In this study, CECs 398 related to dry masses were often the lowest among the different endpoints for 399 each species (Figure 2), advocating for their use in environmental risk assess-400 ment. The Fv/Fm photosystem II efficiency endpoint was also responsive to 401 herbicide exposure. This endpoint, with regards to the mode of action of iso-402 proturon which specifically inhibits photosystem II reaction center (Grouselle 403 et al. [1995]), is thus a relevant early endpoint to monitor such specific con-404 taminations in plants. In our study, pigment content endpoints exhibited low 405 responsiveness compared to previous works using photosystem II inhibitor her-406 bicides (Ramel et al. [2009], Sulmon et al. [2004]). LDMC was also found weakly 407 responsive to chemical exposure, confirming that this parameter was not rele-408 vant for plant ecotoxicity tests. Finally, the responsiveness of Root/Shoot DM 409 ratio, SLA, and of length endpoints was found to be species specific underlying 410 the involvement of species specific pattern of organ allocation in response to 411 stress (Eziz et al. [2017], Xiong et al. [2018]). 412

A similar pattern of responses was observed for some of the endpoints. These different groups of endpoints could be discriminated based on their responsiveness in the different species as well as the ranking of species in terms of tolerance. A first group contained the ligula height, the maximum shoot height and the root length. They were indeed selected for the same species: *D. glomerata*, *P.* 

pratensis, P. trivialis (root length was also selected for A. elatius) (Table 1). 418 These endpoints also shared the same tolerance ranking for concerned species: 419 D. glomerata > P. pratensis > P. trivialis (Figure 2). A second group gath-420 ered the dry masses (root, shoot and total) and concerned all species (Table 421 1). Again, a common tolerance ranking was observed: L. multiflorum > D. 422  $glomerata > A. \ elatius > P. \ pratensis > P. \ trivialis.$  The tolerance values of T. 423 flavescens was however more variable between those different endpoints. Shoot 424 and total dry masses were also the only endpoints where a clear positive effect 425 of competition on tolerance was visible on Figure 2 for L. multiflorum. 426

Our experimental design allowed to test the effects of competition on the 427 responses of tested species to chemical stress. As Bromus erectus was pre-428 identified as the most tolerant to isoproturon and the most competitive species, 429 it was used as competitor to ensure a constant competition pressure. The com-430 petition tested was thus interspecific competition. One could possibly argue 431 that the competition effects identified could not be differentiated from intraspe-432 cific competition. Indeed, an interesting experimental design would have been 433 to also test intraspecific competition by exposing the tested species in presence 434 of other individuals of the same species. However, aside from the fact that it 435 would have considerably overburdened the experimental design, it would then 436 have been impossible to interpret correctly the results as the different species 437 display different competitive abilities and isoproturon tolerances. At high iso-438 proturon concentrations, species with low tolerance would have been highly 439 affected, thus resulting in a reduced competition pressure. 440

### 441 4.2. Relevance of SSD approaches in the context of ERA

The number of species used in this study is under the classical SSD standards. However, 6 species are enough regarding regulatory guidance of some countries such as Australia and New Zealand (Australian and New Zealand Environment and Conservation Council and Agriculture and Resource Management Council of Australia and New Zealand [2000]; minimum 5 species). Forbes and Calow [2002] have also proposed that the quality of data is at least as important as its quantity and the fact that the six species studied here come from a consistent community and that several endpoints have been measured for each may be arguments in favor of the quality of our dataset. Finally, the built SSDs are not intended to have a direct regulatory use but to address the effect of interspecific competition on SSD. Even with only six species, our study was able to provide some insight about this question.

Our study used a great number of endpoints. Such approach provides new 454 insights to assess the importance of measured endpoints in ERA through our 455 innovative proposal for handling multiple endpoints. Indeed, by mixing several 456 endpoints at once in our SSD building, we challenged their relevance and their 457 relative individual sensitivity. It seems important to note that the effect of using 458 different endpoints and ways to combine them into SSDs have not been studied 459 yet (Del Signore et al. [2016]). Our results showed that HC<sub>5</sub> calculated with 460 the ESD  $5^{\text{th}}$  percentile was the lowest for 7 of the 8 CEC types and competition 461 modalities (Table 2). Its constructions should also ensure that it is robust 462 towards potential outliers. Regulatory guidances usually propose to use the 463 minimum values or the geometric mean of the different values for each species 464 to build SSDs, the latter being the most commonly used in practice. In light 465 of our work, the 5<sup>th</sup> percentile from ESD method we investigated here could 466 be proposed as a decent alternative. This method is however not applicable 467 if the number of endpoints is low ( $\leq 5$ ). Such a method would also allow to 468 construct more realistic SSD, adjustable to target communities, and suitable to 469 the diversity of contaminants and related mixtures found in ecosystems. Indeed, 470 using a larger set of endpoints enables to include general, species specific, and 471 also contaminant specific endpoints, regarding both community diversity and 472 contaminant mode of action. 473

Another issue is the question of the "non-responsive" endpoints. We made the choice to exclude them from the analysis, considering that they would not present a response to the isoproturon concentration, even above the maximum concentration of our experimental design. Excluding those data from our dataset could lead to possible biases. Indeed, this exclusion implies that they are not relevant for our study whereas they may be only sparsely or not at all sensitive,
thus meaning we may underestimate the total tolerance of tested species and
related community.

An interesting continuation of this work would be to set up this experimentation again using other pesticides, especially not banned ones as isoproturon is. This would be of great help to assess if our conclusions on isoproturon could be extended to other compounds or if the responses we observed were specific, notably concerning the selection of "responsive" endpoints. It would also support the potential need to measure more endpoints to assess the plant health and give new insights on the ESD method we propose.

Interspecific competition had a negative effect on organism responses to 489 chemical stress, as shown by the different CEC values calculated. It can also 490 be seen in Table 2 that this negative effect was propagated from single CEC 491 values to HC<sub>5</sub> produced from SSDs. The effect of interspecific competition we 492 evidenced is robust, since  $HC_5$  values always exhibited the same trend, even 493 if its intensity depended on the CEC that was used and on the multiple end-494 points handling method. Those results are consistent with the fact that, in 495 SSDs, biotic interactions should have important effects on organism responses 496 to herbicides and other pesticides targeting low trophic levels (De Laender et al. 497 [2008]). Competition effect is not taken into account in the first two tiers of ERA 498 as the first consists in using the lowest CEC of classical bioassays and the sec-499 ond in incorporating those bioassay results in predictive models. It is however 500 included in the other tiers as those tiers are not based on monospecific bioas-501 says. The third ERA tier uses results from experiments in mesocosms where 502 different species coexist. Those species are therefore interacting with each other 503 and biotic interactions are occurring. The fourth ERA tier uses data from real 504 ecosystems and is therefore considered as the most environmentally relevant by 505 integrating real interactions and sources of variability. Those two tiers how-506 ever consider data from specific environments and conclusions can be difficult 507 to expand to other situations. 508

#### 509 5. Conclusion

This study showed that competitive interactions affected, both at the species 510 level (bioassays results) and the community level (SSD results), the responses of 511 plants to isoproturon. Such results thus highlight the relevance of accounting for 512 biotic interactions to construct SSD models in a context of community dynamics 513 prediction and of ERA. SSDs could thus be used more efficiently to design and 514 predict the evolution of key plant communities, such as vegetated filter strips. 515 They are indeed natural or sown plant communities whose function is to protect 516 aquatic ecosystems by preventing pesticide leaching from crops to surrounding 517 rivers. Installation of these grass strips between croplands and rivers is now 518 regulatory (European Council [1991]). In this context, SSD models could be a 519 relevant tool to predict plant community dynamics under conditions of recurrent 520 pesticide exposures, in order to design and maintain functional buffer grass 521 strips. More data are however necessary to assess these points and research 522 must be carried on those topics. 523

#### 524 Associated content

Supporting information. SI A: Example of concentration-response fits for *L. multiflorum*; SI B: Table of all the CEC values calculated; SI C: Graphical summary of  $EC_{50}$  values; SI D: ESD produced with  $EC_{50}$  values; SI E: SSD produced with  $EC_{50}$  values.

Figures equivalent to Fig 1 but for other species and figures equivalent to Fig 2, 4 and 5 but for other CEC are available upon request to the authors.

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